Second Swiss Contribution

**Slovakia**

Report on irregularities

1. **Basic information on the report**

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| Support Measure Name | |  |
| Partner State Support Measure Code (if any) | |  |
| Swiss Support Measure Code | |  |
| Budget according SM Agreement Choose. |  | |
| Swiss Contribution to the Support Measure CHF |  | |
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| Irregularity reference # | |  |
| Reporting Period |  |  |
| Report Number | |  |
|  | |  |
| Type of report | Immediate report (according to Regulations Art. 11.3.1)  Quarterly report (according to Regulations Art. 11.3.2)  Update of a previous report on irregularities (according to Regulations Art. 11.3.3) |
| Executing Agency Name |  |
| Contact person:  Name |  |
| E-Mail |  |
| Phone |  |

1. **Description of the irregularity**
   1. **Irregularity description with a chronology of the reported facts**

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| *Describe the irregularity indicating also the nature of the irregularity (for example corruption, embezzlement, fraud, forgery of documents, illegitimate enrichment, conflict of interest, cybercrime, sexual exploitation/abuse/harassment, discrimination, abuse of trust, abuse of power, violation of agreement/contract, violation of public procurement rules or theft). Indicate whether the irregularity is actual or suspected. Also indicate the period during which, or the moment at which, the irregularity was committed. In case of Programmes, indicate the Programme Component affected.* |

* 1. **Description of the violated legal provisions**

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| *Name the violated provisions and describe whether they are part of the legal framework of the Cooperation Programme, national law, code of conducts etc.* |

* 1. **Identity of the natural and/or legal persons suspected or involved**

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| *Describe the function and roles of the person(s) and institutions involved in the irregularity in relation to the Support Measure (for example contractor, subcontractor, beneficiary, National Coordination Unit, Programme Operator, Programme Component Operator, Project Operator, Paying Authority, etc.).* |

* 1. **Manner in which the irregularity was detected**

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| *Describe how the irregularity was detected. Include the date and source of the initial information leading to the suspicion that an irregularity occurred, and investigations made after the irregularity was detected. Inform about the available evidence (for example financial audit report etc.).* |

* 1. **Description of the damage (actual or potential)**

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| *Describe the financial, legal, political and/or reputational damage that results or may result from the irregularity.* |

* 1. **In case of financial loss: amount or estimation of the loss**

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| *If payments have already been made by the Executing Agency: Indicate the amount of the expenditure wrongfully incurred due to the irregularity and specify whether Switzerland has already reimbursed the amount in question.*  *In cases where no payments have been made by the Executing Agency: Indicate the amounts which would have been wrongly paid had the irregularity not been discovered. If it is not possible to quantify precisely the financial damage, describe how the amount of the financial damage was estimated. In general, the damage shall be estimated based on the circumstances of the concrete case, especially the nature and gravity of the irregularity identified. In this context, the impact of the irregularity on the Support Measure affected by the irregularity and the reputation of Switzerland shall be taken into consideration. This means also that the principle of proportionality shall be applied and that safeguarding financial investments, protecting beneficiaries affected by the irregularity and guaranteeing a positive image of the Cooperation Programme shall be factors to be considered.* |

* 1. **Particular risks related to the irregularity**

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| *Describe all the possible risks related to the irregularity. Can one or several objectives of the Support Measure not be achieved due to the irregularity or due to the proposed financial correction? Does the irregularity cause a delay in the implementation of the Support Measure? Is the irregularity of such a systemic nature that other Support Measures could also be affected? May the positive image of the Swiss Cooperation Programme be affected by the irregularity or by the proposed financial correction? May the irregularity have political repercussions?* |

* 1. **Information regarding coverage on the irregularity in the media**

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| *Which media reported on the irregularity? Pls. attach respective news reports.* |

1. **Corrective Measures**
   1. **Overview of any procedures, including criminal investigation, initiated because of the irregularity and the stage reached in this procedure, including the stage of recovery of funds**

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* 1. **Measures taken to remedy the irregularity detected, and measures taken or envisaged to prevent the recurrence of the detected irregularity**

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* 1. **In case of financial damage: proposed financial correction**

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| *Propose the amount of the financial correction and provide an explanation on the key elements and rules, including the source of financing (e.g. Swiss funding, National co-financing, programme component co-financing) taken into account for the calculation of the amount of the financial correction. If the amounts have already been paid, describe also the possibilities for recovery.* |

1. **Other information related to the irregularity**

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